

# A fast and simple algorithm for training neural probabilistic language models

Andriy Mnih & Yee Whye Teh  
Gatsby Computational Neuroscience Unit  
University College London



## Overview

- In spite of their superior performance, neural probabilistic language models (NPLMs) are far less widely used than  $n$ -gram models due to their notoriously long training times.
- We introduce a simple training algorithm for NPLMs based on noise-contrastive estimation, with **time complexity independent of the vocabulary size**.
  - Over an order of magnitude faster than maximum-likelihood estimation.
  - The resulting models perform just as well.
- We demonstrate the algorithm's scalability by training several large neural language models on the **MSR Sentence Completion Challenge** dataset, achieving **state-of-the-art** results.

## Statistical language modelling

- Goal: Model the joint distribution of words in a sentence.
- Applications: speech recognition, machine translation, information retrieval.
- **Markov assumption**:
  - The distribution of the next word depends only on  $k$  words that immediately precede it.
  - Though clearly false, the assumption makes the task much more tractable without making it trivial.

## $n$ -gram models

- Task: predict the **next word**  $w_n$  from  $n - 1$  preceding words  $h = w_1, \dots, w_{n-1}$  (called the **context**).
- $n$ -gram models are conditional probability tables for  $P(w_n|h)$ .
  - Estimated by smoothing word  $n$ -tuple counts.
  - Most widely used statistical language models due to their simplicity and good performance.
- Cannot take advantage of similarity between words / contexts.
- Curse of dimensionality:
  - The number of model parameters is exponential in the context size.
  - Cannot take advantage of large context sizes.

## Neural probabilistic language models

- Neural probabilistic language models use **distributed representations** of words to deal with the curse of dimensionality.
  - Words are represented with real-valued feature vectors learned from data.
  - A neural network maps contexts (sequences of word feature vectors) to next word distributions.
  - Word feature vectors and neural net parameters are learned jointly.
- NPLMs generalize well because smooth functions map nearby inputs to nearby outputs.
- Similar representations are learned for words with similar usage patterns.
- Main drawback: very long training times.

## Training neural language models

- A NPLM quantifies the compatibility between a context  $h$  and a candidate next word  $w$  using a scoring function  $s_\theta(w, h)$ .
- The distribution for the next word is defined in terms of scores:

$$P_\theta^h(w) = \frac{1}{Z_\theta(h)} \exp(s_\theta(w, h)),$$

$$\text{where } Z_\theta(h) = \sum_{w'} \exp(s_\theta(w', h)).$$

## Maximum-likelihood estimation

- The gradient of the log-likelihood is

$$\begin{aligned} \frac{\partial}{\partial \theta} \log P_\theta^h(w) &= \frac{\partial}{\partial \theta} s_\theta(w, h) - \frac{\partial}{\partial \theta} \log Z_\theta(h) \\ &= \frac{\partial}{\partial \theta} s_\theta(w, h) - \sum_{w'} P_\theta^h(w') \frac{\partial}{\partial \theta} s_\theta(w', h). \end{aligned}$$

- Computing  $\frac{\partial}{\partial \theta} \log Z_\theta(h)$  is expensive – **the time complexity is linear in the vocabulary size**.
- Can approximate  $\frac{\partial}{\partial \theta} \log Z_\theta(h)$  using importance sampling (Bengio and Senécal, 2003):
  - Sample words from a proposal distribution and reweight the gradients.
  - **Stability issues**: need either a lot of samples or an adaptive proposal distribution.

## Noise-contrastive estimation

- Idea: **Fit a density model by learning to discriminate between samples from the data distribution and samples from a known noise distribution** (Gutmann and Hyvärinen, 2010).
- If noise samples are  $k$  times more frequent than data samples, the posterior probability that a sample came from the data distribution is

$$P^h(D = 1|w) = \frac{P_d^h(w)}{P_d^h(w) + kP_n(w)}.$$

- To fit a model  $P_\theta^h(w)$  to the data, use  $P_\theta^h(w)$  in place of  $P_d^h(w)$  and maximize  $J^h(\theta) =$

$$E_{P_d^h} \left[ \log \frac{P_\theta^h(w)}{P_\theta^h(w) + kP_n(w)} \right] + kE_{P_n} \left[ \log \frac{kP_n(w)}{P_\theta^h(w) + kP_n(w)} \right].$$

- **NCE allows working with unnormalized distributions**  $P_\theta^{h0}(w)$ .
  - Set  $P_\theta^h(w) = P_\theta^{h0}(w)/Z^h$  and **learn**  $Z^h$ .
  - $\theta^0$  are the parameters of the unnormalized distribution and  $\theta = \{\theta^0, \log Z^h\}$ .

- The gradient of the objective for context  $h$  is

$$\begin{aligned} \frac{\partial}{\partial \theta} J^h(\theta) &= E_{P_d^h} \left[ \frac{kP_n(w)}{P_\theta^h(w) + kP_n(w)} \frac{\partial}{\partial \theta} \log P_\theta^h(w) \right] - \\ & kE_{P_n} \left[ \frac{P_\theta^h(w)}{P_\theta^h(w) + kP_n(w)} \frac{\partial}{\partial \theta} \log P_\theta^h(w) \right]. \end{aligned}$$

- **Much easier to estimate than the importance sampling gradient** because the weights on  $\frac{\partial}{\partial \theta} \log P_\theta^h(w)$  are always between 0 and 1.

- Can use far fewer noise samples as a result.

- The global NCE objective is a sum of the per-context objectives weighted by the empirical context probabilities  $P(h)$ :

$$J(\theta) = \sum_h P(h) J^h(\theta).$$

## Speedup over MLE

The NCE parameter update is  $\frac{cd+V}{cd+k}$  **times faster than the ML update**.

- Here  $c$  is the context size,  $d$  is the feature vector dimensionality,  $V$  is the vocabulary size, and  $k$  is the number of noise samples.

## Penn Treebank results

- Data: news stories from Wall Street Journal
- Training/validation/test set: 930K/74K/82K words
  - Vocabulary: 10K words

TRAINING ALG.	NUM. OF SAMPLES	TRAINING TIME (H)	PPL W. NOISE UNIGRAM	PPL W. NOISE UNIFORM
ML		21	<b>163.5</b>	163.5
NCE	1	1.5	192.5	291.0
NCE	5	1.5	172.6	233.7
NCE	25	1.5	<b>163.1</b>	195.1
NCE	100	1.5	<b>159.1</b>	173.2

## Sentence completion results

- Task: given a sentence with a missing word find the correct completion from a list of candidate words.
- Training set: 522 19th-century novels (48M words)
  - Test set: 1,040 sentences from five Sherlock Holmes novels
  - Five candidate completions per sentence.

METHOD	CONTEXT SIZE	LATENT DIM	TEST PPL	PERCENT CORRECT	
CHANGE	0			20.0	
3-GRAM	2		130.8	36.0	
5-GRAM	4		121.5	38.7	
6-GRAM	5		121.7	38.4	
LSA	SENTENCE	300		49	
RNN	SENTENCE	?	?	45	
LBL		2	100	145.5	41.5
LBL		3	100	135.6	45.1
LBL		5	100	129.8	49.3
LBL		10	100	124.0	50.0
LBL		10	200	117.7	52.8
LBL		10	300	116.4	<b>54.7</b>
LBL	10x2	100	38.6	44.5	

## Conclusions

- Noise-contrastive estimation provides a fast and simple way of training neural language models:
- Over an order of magnitude faster than maximum-likelihood estimation.
  - Models trained using NCE with 25 noise samples per datapoint perform as well as the ML-trained ones.